

# A POLYNOMIAL RATE OF GROWTH FOR THE MULTIPLICITIES IN COCHARACTERS OF MATRICES

BY  
AMITAI REGEV

## ABSTRACT

The sum of the multiplicities  $m_\lambda$ , as well as each  $m_\lambda$ , in the cocharacter  $\chi_n(F_k)$  of the  $k \times k$  matrices, have an upper bound of a polynomial rate of growth. Some have a lower bound which is also of a polynomial rate of growth.

## Introduction

Let  $F$  be a field of characteristic zero,  $F_k$  the  $k \times k$  matrices over  $F$  and let  $\chi_n(F_k) = \sum_{\lambda \in \Lambda_k(n)} m_\lambda \chi_\lambda$  be its  $n$ -th cocharacter [4]. The inequality  $m_\lambda \geq (w_1 + 1)(w_2 - 1)(w_3 + 1)$  [4, theorem 3.22], proved for  $F_2$ , yields a lower bound for  $m_\lambda$  which, as a function of  $n$ , has a polynomial rate of growth. Thus most  $m_\lambda$ 's, and therefore also  $\sum_\lambda m_\lambda$ , have a lower bound of a polynomial rate of growth.

The purpose of this paper is to produce, for any  $F_k$ , upper and lower bounds of similar nature for  $\sum_\lambda m_\lambda$ . Thus the main result here is Theorem 4.5, which does exactly this.

Section 2 studies and compares linearization with substitutions, as  $FS_n$  right module homomorphism. Sections 1, 3 generalize and strengthen [4, §1, 3]. In particular, Theorem 3.1 characterizes the  $m_\lambda$ 's in a cocharacter. These results, together with [6, theorem 8] and with the asymptotic results of [5] and [7], allow us to prove our main result.

## §1. Adding tableaux

The "gluing together" of two tableaux [4, §1] is now generalized.

Let  $\lambda = (a_1, \dots, a_r) \in \text{Par}(m)$  and write

$$\lambda = (w_1 + \dots + w_r, w_2 + \dots + w_r, \dots, w_r):$$

Received November 28, 1980

the Young diagram  $D_\lambda$  is made of  $j \times w_j$  rectangles. To emphasize the “block” structure of  $\lambda$  we introduce the notation

$$\lambda = (w_1 + \cdots + w_r, \cdots, w_r) \stackrel{\text{def}}{=} \langle w_r, \cdots, w_1 \rangle \quad \left( m = \sum_{j=1}^r jw_j \right).$$

EXAMPLE.  $\text{Par}(12) \ni \lambda = (5, 3, 3, 1) = \langle 1, 2, 0, 2 \rangle$ .

Note: Some of the  $w_j$ 's, in particular  $w_r$ , can be zero. Also,  $\langle w_r, \cdots, w_1 \rangle = \langle 0, \cdots, 0, w_r, \cdots, w_1 \rangle$ . Thus, given  $\lambda \in \text{Par}(m)$ ,  $\mu \in \text{Par}(n)$ , we can write  $\lambda = \langle w_r, \cdots, w_1 \rangle$  and  $\mu = \langle w'_r, \cdots, w'_1 \rangle$ . One can then add  $\lambda$  and  $\mu$ .

DEFINITION 1.1. Let  $\lambda = \langle w_r, \cdots, w_1 \rangle \in \text{Par}(m)$ ,  $\mu = \langle w'_r, \cdots, w'_1 \rangle \in \text{Par}(n)$  and define  $\lambda + \mu \in \text{Par}(m + n)$  by  $\lambda + \mu = \langle w_r + w'_r, \cdots, w_1 + w'_1 \rangle$ . Let  $m \leq l$ ,  $\lambda \in \text{Par}(m)$ ,  $\nu \in \text{Par}(l)$ . We say that  $\lambda \leq \nu$  if  $\nu = \lambda + \mu$  for some  $\mu \in \text{Par}(l - m)$ . Equivalently,

$$\langle w_r, \cdots, w_1 \rangle \leq \langle v_r, \cdots, v_1 \rangle \quad \text{if } w_j \leq v_j, \quad j = 1, \cdots, r.$$

Two arbitrary tableaux  $T_\lambda, T_\mu$  can be added to yield a tableau on  $\lambda + \mu$ . The relation between the three corresponding idempotents is then studied.

DEFINITION 1.2. Let  $\lambda = \langle w_r, \cdots, w_1 \rangle \in \text{Par}(m)$  and  $T_\lambda$  a corresponding tableau. The block of columns of height  $j$  in  $T_\lambda$  forms a  $j \times w_j$  rectangle which we denote by  $B_j$  ( $B_j = \emptyset$  if  $w_j = 0$ ). Now write  $T_\lambda \stackrel{\text{def}}{=} \langle B_r, B_{r-1}, \cdots, B_1 \rangle$ . Let  $T_\mu = \langle B'_r, \cdots, B'_1 \rangle$ ,  $\mu \in \text{Par}(n)$  be a second tableau. The entries of  $T_\mu + m = \langle B'_r + m, \cdots, B'_1 + m \rangle$  are  $\{m + 1, \cdots, m + n\}$ , hence disjoint from those of  $T_\lambda$ , i.e. from  $\{1, \cdots, m\}$ . Thus

$$T_\lambda + (T_\mu + m) \stackrel{\text{def}}{=} \langle B_r \mid (B'_r + m), \cdots, B_1 \mid (B'_1 + m) \rangle$$

is a tableau on  $\lambda + \mu$ .

EXAMPLE.  $T_\lambda = \begin{array}{|c|c|c|} \hline 4 & 2 & 3 \\ \hline 5 & & \\ \hline 1 & & \\ \hline \end{array}, \quad B_1 = \begin{array}{|c|c|} \hline 2 & 3 \\ \hline \end{array}, \quad B_2 = \emptyset, \quad B_3 = \begin{array}{|c|} \hline 4 \\ \hline 5 \\ \hline 1 \\ \hline \end{array}.$

Let  $T_\mu = \begin{array}{|c|c|} \hline 2 & 3 \\ \hline 1 & \\ \hline \end{array}$ , then  $T_\mu + 5 = \begin{array}{|c|c|} \hline 7 & 8 \\ \hline 6 & \\ \hline \end{array}$  and  $T_\lambda + (T_\mu + 5) = \begin{array}{|c|c|c|c|c|} \hline 4 & 7 & 2 & 3 & 8 \\ \hline 5 & 6 & & & \\ \hline 1 & & & & \\ \hline \end{array}.$

Let  $\kappa(T_\nu) = \{\kappa_1, \cdots, \kappa_a\}$  denote the set of columns of the tableau  $T_\nu$ ,  $\nu \in \text{Par}(k)$ . Let  $S(\kappa_i) \subseteq S_k$  denote the permutations of its entries, so  $C_{T_\nu} = \times_{i=1}^a S(\kappa_i)$ . Clearly,  $\kappa(T_\lambda + (T_\mu + m)) = A_1 \cup A_2$  (disjoint union) with  $A_1 =$

$\kappa(T_\lambda)$  (entries:  $1, \dots, m$ ) and  $A_2 = \kappa(T_\mu + m)$  (entries:  $m + 1, \dots, n$ ). Thus  $C_{T_\lambda + (T_\mu + m)} = C_{T_\lambda} \times C_{(T_\mu + m)}$ . As in [4, 1.4], this implies that

$$g_{T_\lambda + (T_\mu + m)}(x_1, \dots, x_{m+n}) = g_{T_\lambda}(x_1, \dots, x_m) \cdot g_{T_\mu}(x_{m+1}, \dots, x_{m+n}).$$

With the proofs of [4, 1.5, 1.6] unchanged, we now have the following, more general

**THEOREM 1.3.** *Let  $\lambda \in \text{Par}(m)$ ,  $\mu \in \text{Par}(n)$ , then*

$$e_{T_\lambda + (T_\mu + m)}(y) = d \cdot e_{T_\lambda}(y) \cdot e_{T_\mu}(y)$$

for some (integer)  $d \geq 1$ .

**COROLLARY 1.4.** *Let  $\lambda \in \text{Par}(m)$ ,  $T_1, \dots, T_s$  tableaux on  $D_\lambda$  with corresponding (semi-) idempotents  $e_1, \dots, e_s$ . Let  $\mu \in \text{Par}(n)$ ,  $T_\mu$  (one) tableau with  $e_{T_\mu} = e_\mu$ . Construct the  $s$  tableaux  $T_j + (T_\mu + m)$  and let  $\hat{e}_j$  be their corresponding (semi-) idempotents,  $j = 1, \dots, s$ . Then for some  $d > 0$  (integer),  $\hat{e}_j(y) = d \cdot e_j(y) \cdot e_\mu(y)$ ,  $j = 1, \dots, s$ .*

**NOTE.** In §3 of [4], instead of writing  $S_{(x,y)}^{T_\lambda}(g_{T_\lambda}(x)) = g_{T_\lambda}(y)$ , the notation is changed to  $S_{(x,y)}^{T_\lambda}(g_{T_\lambda}(x)) = p_{T_\lambda}(y)$ . Thus, for some  $d > 0$ ,  $\hat{p}_j(y) = d \cdot p_j(y) \cdot p_\mu(y)$ ,  $j = 1, \dots, s$ .

**§2. Identifications  $S_{(x,y)}^{T_\lambda}$  versus linearization**

The substitution  $S_{(x,y)}^{T_\lambda}$ , [4, §3], identifies the  $x$ 's in the  $i$ -th row of  $T_\lambda$  to  $y_i$ . Linearization turns out to be an inverse operation to  $S_{(x,y)}^{T_\lambda}$ , as we now show.

The symmetric group  $S_n$  acts from the right on (any) monomial of degree  $n$  by permuting places:  $\eta \in S_n$

$$(y_1 \cdots y_n)\eta = y_{i_{\eta(1)}} \cdots y_{i_{\eta(n)}}.$$

This action extends linearly to homogeneous polynomials of degree  $n$ . Denote by  $L$  the linearization operator. It is determined up to a choice of "names" for the new variables. We choose them so as to end in  $FS_n$ .  $L$  has the following property.

**L.2.1.** If  $q(y_1, \dots, y_n)$  is an identity of some algebra  $A$ , then  $L(q)$  is an identity of  $A$ .

$L$  is defined on monomials, then extended by linearity to polynomials. Let  $M(y_1, \dots, y_n)$  be any monomial of degree  $n$  and let  $a_i = \deg_{y_i}(M)$ , then there exists  $\eta \in S_n$  such that

$$M(y_1, \dots, y_h) = (y_1^{a_1} \cdots y_h^{a_h})\eta.$$

Obviously the linearization satisfies

$$L.2.2. \quad L(M) = L(y_1^{a_1} \cdots y_h^{a_h} \cdot \eta) = (L(y_1^{a_1} \cdots y_h^{a_h})) \cdot \eta.$$

In the special case that  $M(y) = y^n$ , it is well-known that  $L(y^n) = \sum_{\sigma \in S_n} x_{\sigma(1)} \cdots x_{\sigma(n)} \quad (\equiv \sum_{\sigma \in S_n} \sigma)$ . In the general case,  $L(y_1^{a_1} \cdots y_h^{a_h}) = L_1(y_1^{a_1}) \cdots L_h(y_h^{a_h})$ , where the  $x$ -variables used by  $L_u$  and  $L_v$  are disjoint when  $u \neq v$ . Choose

$$\begin{aligned} x_1, \dots, x_{a_1} & \quad \text{for } L_1(y_1^{a_1}), \\ x_{a_1+1}, \dots, x_{a_1+a_2} & \quad \text{for } L_2(y_2^{a_2}), \quad \text{etc.}, \end{aligned}$$

i.e.

$$\begin{aligned} L_1(y_1^{a_1}) &= \left( \sum_{\sigma \in S_{a_1}(a_1, \dots, a_1)} \sigma \right) x_1 \cdots x_{a_1}, \\ L_2(y_2^{a_2}) &= \left( \sum_{\sigma \in S_{a_2}(a_1+1, \dots, a_1+a_2)} \sigma \right) x_{a_1+1} \cdots x_{a_1+a_2}, \quad \text{etc.} \end{aligned}$$

Thus, if

$$\begin{aligned} R_{(a)} = R_{(a_1, \dots, a_h)} &= S_{a_1}(1, \dots, a_1) \times S_{a_2}(a_1 + 1, \dots, a_1 + a_2) \times \cdots \\ & \quad \text{(a Young subgroup)} \end{aligned}$$

and  $\bar{R}_{(a)} = \sum_{\sigma \in R_{(a)}} \sigma$ , then

$$L.2.3. \quad L(y_1^{a_1} \cdots y_h^{a_h}) = \bar{R}_{(a)} (\equiv \bar{R}_{(a)} \cdot x_1 \cdots x_n) \text{ and } L(y_1^{a_1} \cdots y_h^{a_h} \cdot \eta) = \bar{R}_{(a)} \cdot \eta.$$

NOTE. Even though  $\eta$  is not unique,  $L$  is independent of its choice:  $y_1^{a_1} \cdots y_h^{a_h} \cdot \eta = y_1^{a_1} \cdots y_h^{a_h} \cdot \tau \Leftrightarrow \eta\tau^{-1} \in R_{(a)} \Leftrightarrow R_{(a)}\eta = R_{(a)}\tau \Leftrightarrow \bar{R}_{(a)}\eta = \bar{R}_{(a)}\tau$ .

Linearity, L.2.2 and L.2.3 imply:

L.2.4. Let  $q(y_1, \dots, y_h)$  be homogeneous in each  $y_i$  and let  $g \in FS_n$ , then  $L(q(y) \cdot g) = (L(q(y)))g$ . In particular, let  $\deg_{y_i}(q) = a_i$ , so there is a  $g \in FS_n$  such that

$$q(y) = y_1^{a_1} \cdots y_h^{a_h} \cdot g,$$

so  $L(q(y)) = (L(y_1^{a_1} \cdots y_h^{a_h}))g = \bar{R}_{(a)} \cdot g$ .

Now turn to substitutions: Let  $S_{(x,y)}(x_j) = y_{i_j}$ ,  $1 \leq j \leq n$ ,  $1 \leq i_j \leq h$ , so  $S_{(x,y)}(x_1 \cdots x_n) = y_{i_1} \cdots y_{i_n} = y_1^{a_1} \cdots y_h^{a_h} \cdot \eta$ . Thus  $S_{(x,y)}$  determines  $a_1, \dots, a_h$  (hence  $R_{(a)} \subseteq S_n$ ) and the coset  $R_{(a)}\eta$ . (Clearly,  $S_{(x,y)} \leftrightarrow ((a), R_{(a)}\eta)$  classifies all substitutions.) It is easy to check that  $S_{(x,y)}(x_{\sigma(1)} \cdots x_{\sigma(n)}) = y_{i_1} \cdots y_{i_n} \cdot \sigma$ , so, by linearity we have

S.2.5. Let  $g \in FS_n \equiv V_n$ , then

$$S_{(x,y)}(g) = (S_{(x,y)}(x_1 \cdots x_n)) \cdot g = y_1^{a_1} \cdots y_h^{a_h} \eta \cdot g.$$

This clearly implies  $S_{(x,y)}(g_1 g_2) = (S_{(x,y)}(g_1)) g_2$ ,  $g_1, g_2 \in FS_n$ .

The substitutions  $S_{(x,y)} = S_{(x,y)}^T$  are defined in [4, §3]. Since

$$a_i = \text{deg}_{y_i}(S_{(x,y)}^T(x_1 \cdots x_n)) = \text{length of the } i\text{-th row of } T_\lambda,$$

hence  $a_1 \geq \cdots \geq a_h$ . The converse is

LEMMA 2.6. Let  $S_{(x,y)}(x_1 \cdots x_n) = y_1^{a_1} \cdots y_h^{a_h} \cdot \eta$  and assume  $a_1 \geq \cdots \geq a_h$ . Let  $\lambda = (a_1, \cdots, a_h)$ , so  $\lambda \in \text{Par}(n)$ , and define the tableau  $T_{\lambda,0} = T_0$  on  $\lambda$  as follows:

$$T_0 = \begin{array}{|c|c|c|c|c|} \hline 1 & 2 & \cdots & & \cdots & a_1 \\ \hline a_1 + 1 & a_1 + 2 & \cdots & a_1 + a_2 & & \\ \hline \cdot & \cdot & & & & \\ \hline \cdot & \cdot & & & & \\ \hline \cdot & \cdot & \cdots & & & \\ \hline \end{array}$$

then  $S_{(x,y)} = S_{(x,y)}^{\eta^{-1}T_0}$ .

PROOF. By definition,

$$\begin{aligned} S_{(x,y)}^{\eta^{-1}T_0} : x_{\eta^{-1}(1)}, \cdots, x_{\eta^{-1}(a_1)} &\rightarrow y_1 \\ x_{\eta^{-1}(a_1+1)}, \cdots, x_{\eta^{-1}(a_1+a_2)} &\rightarrow y_2 \\ \text{etc.} \end{aligned}$$

Since  $S_{(x,y)}(x_1 \cdots x_n) = y_1^{a_1} \cdots y_h^{a_h} \cdot \eta$ , hence  $y_1^{a_1} \cdots y_h^{a_h} = S_{(x,y)}(x_1 \cdots x_n) \eta^{-1} = S_{(x,y)}(x_{\eta^{-1}(1)} \cdots x_{\eta^{-1}(n)}) = (S_{(x,y)}(x_{\eta^{-1}(1)})) \cdots (S_{(x,y)}(x_{\eta^{-1}(n)}))$ . Compare places in the two monomials to verify that  $S_{(x,y)} = S_{(x,y)}^{\eta^{-1}T_0}$ . Q.E.D.

NOTE.  $\lambda \in \text{Par}(n)$  determines  $T_0 = T_{\lambda,0}$ . Given a second tableau  $T_\lambda$  (on  $\lambda$ ), there exists (unique)  $\eta \in S_n$  such that  $\eta T_\lambda = T_0$ .

LEMMA 2.7.  $L(S_{(x,y)}^T(x_1 \cdots x_n)) = \eta \bar{R}_{T_\lambda}$ , where  $\eta T_\lambda = T_0$ .

PROOF.  $T_\lambda = \eta^{-1} T_0$ , so by 2.6,  $S_{(x,y)}^T(x_1 \cdots x_n) = y_1^{a_1} \cdots y_h^{a_h} \cdot \eta$ , hence  $L(S_{(x,y)}^T(x_1 \cdots x_n)) = L(y_1^{a_1} \cdots y_h^{a_h}) \eta = \bar{R}_{(a)} \eta = \bar{R}_{T_0} \cdot \eta$ . Now  $T_0 = \eta T_\lambda$ , so  $R_{T_0} = \eta R_{T_\lambda} \eta^{-1}$ , and  $R_{T_0} \eta = \eta R_{T_\lambda}$ , hence  $\bar{R}_{T_0} \eta = \eta \bar{R}_{T_\lambda}$ . Q.E.D.

The tableau  $T_\lambda$  defines the subgroups  $R_{T_\lambda}, C_{T_\lambda} \subseteq S_n$ . Denote  $\Sigma_{\sigma \in R_{T_\lambda}} \sigma = \bar{R}_{T_\lambda}$ ,  $\Sigma_{\sigma \in C_{T_\lambda}} (-1)^\sigma \sigma = \bar{C}_{T_\lambda}$ , so  $e_{T_\lambda} = \bar{R}_{T_\lambda} \cdot \bar{C}_{T_\lambda}$ . By [4, §1,3],  $\bar{C}_{T_\lambda} = g_{T_\lambda}$  and  $S_{(x,y)}^T(g_{T_\lambda}) = p(y_1, \cdots, y_h)$ .

**THEOREM 2.8.** *Let  $\eta T_\lambda = T_0$  (as above) and write  $S_{(\lambda,y)}^T(g_{T_\lambda}(x_1, \dots, x_n)) = p(y_1, \dots, y_h)$ , then  $L(p(y_1, \dots, y_h)) = \eta e_{T_\lambda}$ .*

**PROOF.**

$$\begin{aligned} L(p(y)) &= L(S_{(\lambda,y)}^T(g_{T_\lambda})) \stackrel{[2.4,2.5]}{=} (L(S_{(\lambda,y)}^T(x_1 \cdots x_n)))g_{T_\lambda} \\ &= \stackrel{[2.7]}{=} \eta \bar{R}_{T_\lambda} \cdot g_{T_\lambda} = \eta e_{T_\lambda}. \end{aligned}$$

**REMARK 2.9.**  $F(y_1, \dots, y_h) \supset W_n =$  the homogeneous polynomials of degree  $n$ , as  $FS_n$  right module. Given  $a_1 + \dots + a_h = n$ , let  $W_{(a)} = W_{(a_1, \dots, a_h)}$  be the  $FS_n$  submodule of the polynomials  $q(y)$  homogeneous in each  $y_i$ , and  $\deg_{y_i} q = a_i$ , then  $W_n = \bigoplus_{(a)} W_{(a)}$ . By L.2.4 and S.2.5,  $L : W_{(a)} \rightarrow V_n \equiv FS_n$  and  $S_{(x,y)} : V_n \rightarrow W_{(a)}$  ( $S_{(x,y)} \leftrightarrow ((a), R_{(a)}\eta)$ ) are module homomorphisms. Some compositions  $L \circ S_{(x,y)}$  are calculated by 2.8. One can easily calculate  $S_{(x,y)} \circ L$ .

**§3. A characterization of  $m_\lambda$**

The following part strengthens [4, theorem 3.5] to a characterization of the  $m_\lambda$ 's in a cocharacter. Let  $A$  be a P.I. algebra,  $Q = I(A) \subset F\langle x \rangle$  its identities and  $\chi_n(A) = \sum_{\lambda \in \text{Par}(n)} m_\lambda \chi_\lambda$  its  $n$ -th cocharacter. To a tableau  $T_\lambda$  (on  $\lambda$ ) corresponds  $e_{T_\lambda} = e_{T_\lambda}(x_1, \dots, x_n)$ , and by [4, 1.3],  $S_{(\lambda,y)}^T(e_{T_\lambda}(x)) = |R_{T_\lambda} | \cdot p_{T_\lambda}(y_1, \dots, y_h)$  ( $h = h(\lambda)$ ).

**THEOREM 3.1.** *With the above notations,  $m_\lambda$  equals the maximal number of (standard) tableaux  $T_1, \dots, T_s$  (on  $\lambda$ ) with  $T_i \leftrightarrow e_i = e_i(x)$ ,  $S_{(\lambda,y)}^T(e_i(x)) = |R_{T_i} | \cdot p_i(y)$ , such that  $p_1(y), \dots, p_s(y)$  are linearly independent modulo  $Q$  (in  $F\langle x \rangle$ ).*

**PROOF.** (a) (The proof of this part is almost identical to that of [4, theorem 3.5].) Assume  $T_1, \dots, T_s$  are tableaux such that their corresponding  $p_1(y), \dots, p_s(y)$  are linearly independent modulo  $Q$ . If we show that

$$\sum_{i=1}^s FS_n e_i = \bigoplus_{i=1}^s FS_n e_i \quad \text{and} \quad \left( \bigoplus_{i=1}^s FS_n e_i \right) \cap Q = 0,$$

then  $m_\lambda \geq s$ . Both follow once we prove: If  $\sum_{i=1}^s b_i e_i \in Q$ ,  $b_i \in FS_n$ , then  $b_i e_i = 0$ ,  $i = 1, \dots, s$ . So, let  $\sum_{i=1}^s b_i e_i \in Q$  and assume  $b_1 e_1 \neq 0$ . There exists  $c \in FS_n$  such that  $cb_1 e_1 = e_1$ . Write  $c_i = cb_i$ ,  $i = 2, \dots, s$ , then  $e_1 + c_2 e_2 + \dots + c_s e_s \in Q$ . Apply  $S_{(\lambda,y)}^T$  and [4, 3.4] to obtain  $|R_{T_1} | \cdot p_1(y) + \alpha_2 p_2(y) + \dots + \alpha_s p_s(y) \in Q$ , contradicting the assumed linear independence modulo  $Q$ . [Note: No assumption is made of  $T_i$  being standard.]

(b) Write  $m_\lambda = s$ . Let  $I_\lambda \subseteq FS_n$  be the two-sided ideal corresponding to  $\lambda$ , and write  $Q_\lambda = I_\lambda \cap Q$ . By the theory of  $S_n$ -representations and by [3, ch. IV, theorem 1] there are  $s$  (standard) tableaux  $T_1, \dots, T_s$  (on  $\lambda$ ) with corresponding  $e_1, \dots, e_s$  such that

$$(*) \quad I_\lambda = Q_\lambda \oplus \left( \bigoplus_{i=1}^s FS_n e_i \right).$$

Write  $S_{(s,y)}^T(e_i) = |R_{T_i} | \cdot p_i(y)$ ,  $1 \leq i \leq s$ , and assume  $\sum_{i=1}^s \alpha_i p_i(y) \in Q$ . Note that all  $p_i(y)$  belong to the same  $W_{(a)}$ . Apply  $L!$  By L.2.1 and Theorem 2.8,  $\sum_{i=1}^s \alpha_i \eta^{(i)} e_i \in Q$  for some  $\eta^{(1)}, \dots, \eta^{(s)} \in S_n$ , so by (\*),  $\alpha_1 = \dots = \alpha_s = 0$ .

Q.E.D.

The last theorem has the following application for  $F_2$ :

LEMMA 3.2. *Let  $\lambda \in \text{Par}(n)$ ,  $\lambda' \in \text{Par}(n')$ ,  $n \leq n'$ ,  $\lambda = \langle w_4, \dots, w_1 \rangle \leq \lambda' = \langle w'_4, \dots, w'_1 \rangle$  (Definition 1.1) with  $w_4 + 2 \leq w'_4$ , then  $m_\lambda \leq m_{\lambda'}$ .*

PROOF. Write  $m_\lambda = s$ , so there are  $s$  tableaux  $T_1, \dots, T_s$  (on  $\lambda$ ) with their corresponding  $p_1(y), \dots, p_s(y)$  linearly independent modulo  $Q$ . Let  $\mu = \langle w'_4 - w_4, \dots, w'_1 - w_1 \rangle$ . By the results of [4, §3] there exists a tableau  $T_\mu$  (on  $\mu$ ) whose corresponding  $p_\mu(y)$  is an  $F_2$ -non-identity.

Let  $T'_j = T_j + (T_\mu + n)$ ,  $j = 1, \dots, s$ .  $T'_j$  are tableaux on  $\lambda + \mu = \lambda'$ , with corresponding  $p'_j(y)$ . By Corollary 1.4 there exists  $d > 0$  such that

$$p'_j(y) = dp_j(y) \cdot p_\mu(y), \quad j = 1, \dots, s.$$

By the primeness property of  $Q = I(F_2)$ , [1],  $p'_1(y), \dots, p'_s(y)$  are linearly independent modulo  $Q$ . Therefore by Theorem 3.1 ( $m_\lambda =$ )  $s \leq m_{\lambda'}$ . Q.E.D.

#### §4. A polynomial bound for the $m_\lambda$ 's

Given (large)  $n$ , we give one upper bound to all the  $m_\lambda$ 's of  $\chi_n(F_2)$ , a bound which is a polynomial in  $n$ . By a tensor product technique, the result is extended to all rings of matrices.

NOTATION. Given  $n$ , let  $t = t(n) = 16n^2 + 10n$  and define

$$\text{Par}(t) \ni \mu(n) = (4n^2 + 4n, 4n^2 + 3n, 4n^2 + 2n, 4n^2 + n).$$

NOTE.  $\lambda < \mu(n)$  for any  $\lambda \in \text{Par}(n)$ , hence for  $\chi_n(F_2)$ ,  $m_\lambda \leq m_{\mu(n)}$ . The asymptotic results of [5] are applied to give a lower bound for  $d_{\mu(n)}$ , then an upper bound for  $m_{\mu(n)}$ .

LEMMA 4.1. *There exist a constant  $c$  and a large enough  $N$  such that for all  $n \geq N$ ,  $d_{\mu(n)} > c \cdot (1/t)^{9/2} \cdot 4^t$  ( $t, \mu(n)$  as above).*

PROOF. Let  $a = 1$  and  $\delta = 1/8$  in [5, 1.1], then choose  $N$  large enough such that F.1.1 (there) works if  $t(n) \geq N$ .  $N \leq n$  should also be large enough for the following asymptotic arguments:

Write  $\mu(n) = (t/4 + c_1 \sqrt{t}, \dots, t/4 + c_4 \sqrt{t})$ , so

$$c_j = \frac{5-2j}{2} \cdot \frac{n}{\sqrt{16n^2+10n}} \approx \frac{5-2j}{8} \quad \text{and} \quad c_j - c_{j+1} \approx \frac{1}{4}.$$

Thus  $\mu(n) \in \Lambda_4(t, 1, \frac{1}{8})$  and by F.1.1,

$$d_{\mu(n)} \approx \gamma_4 \cdot D(c_1, \dots, c_4) \cdot e^{-2(c_1^2 + \dots + c_4^2)} \cdot \left(\frac{1}{t}\right)^{9/2} \cdot 4^t.$$

Now refer to [5, note 2.1]:  $P_4(1, 1/8)$  is compact, hence  $D(c_1, \dots, D_4) e^{-2(c_1^2 + \dots + c_4^2)}$  has a minimum  $M \geq 0$  on it. Thus for large enough  $n$ ,  $d_{\mu(n)} > \frac{1}{2} \gamma_4 \cdot M \cdot (1/t)^{9/2} \cdot 4^t$ . Choose  $c = \frac{1}{2} \gamma_4 M$  to complete the proof. Q.E.D.

LEMMA 4.2. Let  $N = N(1, 1/8)$  as above. For some  $d > 0$ ,  $m_{\mu(n)} < dt^3$  for all  $t(n)$ ,  $n \geq N$ .

PROOF. For a large enough  $t$ ,

$$m_{\mu(n)} \cdot c \cdot \left(\frac{1}{t}\right)^{9/2} \cdot 4^t < m_{\mu(n)} \cdot d_{\mu(n)} \leq c_t(F_2) \leq \frac{4}{\pi} \left(\frac{1}{t}\right)^{3/2} \cdot 4^t,$$

[Lemma 4.1]                      [4, theorem 5.4]

hence  $m_{\mu(n)} < (4/c \sqrt{\pi}) \cdot t^3$ . Choose  $d = 4/c \sqrt{\pi}$ . Q.E.D.

It is well-known that  $|\Lambda_h(n)| \leq \binom{n+h-1}{n} < n^{h-1}$ , [2]. By Lemma 3.2 we deduce

COROLLARY 4.3. If  $n$  is large enough, then for all  $\lambda \in \text{Par}(n)$ ,  $m_\lambda < d \cdot t^3 = d \cdot (16n^2 + 10n)^3 \leq d'n^6$ , hence  $\sum_{\lambda \in \Lambda_4(n)} m_\lambda < |\Lambda_4(n)| \cdot d \cdot (16n^2 + 10n)^3 < d \cdot n^3 \cdot (16n^2 + 10n)^3$ : the sum of multiplicities is polynomially bounded.

REMARK 4.4. Write  $n = 6l + r$ ,  $0 \leq r \leq 5$ ,  $l = [n/6] = n/6$ , and let  $\lambda = (3l, 2l, l + r) = (w_4, \dots, w_1)$ , where  $w_4 = 0$ ,  $w_3 = w_2 = l$  and  $w_1 = l + r$ . By [4, theorem 3.22],  $m_\lambda \geq (w_1 + 1)(w_2 - 1)(w_3 + 1) = (l + r + 1)(l - 1)(l + 1) \approx l^3 \approx (\frac{1}{6})^3 n^3$ , for large  $n$ . Thus some (many)  $m_\lambda$ 's of  $\chi_n(F_2)$  also have a lower bound of a polynomial rate of growth. For  $k \geq 2$ ,  $\chi_n(F_2) \leq \chi_n(F_k)$ , hence the same is true for any  $\chi_n(F_k)$ .

We now generalize Corollary 4.3 to all matrix rings  $F_k$ .

THEOREM 4.5. Let  $\chi_n(F_k) = \sum_{\lambda \in \Lambda_{k^2}(n)} m_\lambda \chi_\lambda$ . There exist an exponent  $e = e(k)$  and a constant  $c$  such that  $\sum_{\lambda \in \Lambda_{k^2}(n)} m_\lambda \leq c \cdot n^e$ . In particular,  $m_\lambda \leq c'n^{e'}$  for all  $\lambda \in \Lambda_{k^2}(n)$  where  $c' > 0$  is another constant and  $e' \leq e$  a smaller exponent.



PROOF. It is enough to prove for large  $n$ .

(a) Assume  $k = 2^l$  and prove by induction on  $l$ , the case  $l = 1$  being Corollary 4.3. Prove for  $l + 1$ :

$$F_{2^{l+1}} \cong F_{2^l} \otimes F_2 \Rightarrow \chi_n(F_{2^{l+1}}) \cong \chi_n(F_{2^l}) \otimes \chi_n(F_2). \\ \text{[6, theorem 8]}$$

Induction and Corollary 4.3 imply

$$\chi_n(F_{2^l}) \otimes \chi_n(F_2) \cong \sum_{\nu \in \Lambda_{4^l}(n)} c_1 n^{e_1} \chi_\nu \otimes \sum_{\mu \in \Lambda_4(n)} d' n^6 \chi_\mu \\ = c_1 d' n^{e_1+6} \cdot \sum_{\nu \in \Lambda_{4^l}(n)} \sum_{\mu \in \Lambda_4(n)} \chi_\nu \otimes \chi_\mu.$$

By [7, lemma 1] there are  $e_2, c_2$  such that for all  $\nu \in \Lambda_{4^l}(n), \mu \in \Lambda_4(n), \chi_\nu \otimes \chi_\mu \cong \sum_{\lambda \in \Lambda_{4^{l+1}}(n)} c_2 \cdot n^{e_2} \cdot \chi_\lambda$ , hence

$$\chi_n(F_{2^{l+1}}) \cong c_1 c_2 d' n^{e_1+6} \cdot n^{e_2} \cdot |\Lambda_{4^l}(n)| \cdot |\Lambda_4(n)| \cdot \sum_{\lambda \in \Lambda_{4^{l+1}}(n)} \chi_\lambda.$$

Thus

$$\sum_{\lambda \in \Lambda_{k^2}(n)} m_\lambda = \sum_{\lambda \in \Lambda_{4^{l+1}}(n)} m_\lambda \cong c_1 c_2 d' \cdot n^{e_1+6} \cdot n^{e_2} \cdot n^{4^l-1} \cdot n^3 \cdot n^{4^{l+1}-1} \\ = c \cdot n^e,$$

where  $c = c_1 c_2 d'$  and  $e = e_1 + 6 + e_2 + 4^l - 1 + 4^{l+1} - 1 + 3$ .

(b) The general case follows easily: For  $k$  arbitrary, choose  $l$  such that  $k \leq 2^l$ . Since  $F_k \cong F_{2^l}$ ,  $F_k$  satisfies more identities than  $F_{2^l}$ , hence  $\chi_n(F_k) \cong \chi_n(F_{2^l})$ . Q.E.D.

REMARK 4.6. Since  $\chi_n(F_2) \cong \chi_n(F_k)$ , Remark 4.4 implies that some  $m_\lambda$ 's in  $\chi_n(F_k)$  have a lower bound which also has a polynomial rate of growth. Thus  $\sum_\lambda m_\lambda = L_n(F_k)$ , the colength of  $F_k$ , has lower and upper bounds of polynomial rate of growth.

We think that the property of a polynomial rate of growth of  $L_n(A)$  is shared by many other algebras.

REFERENCES

1. S. Amitsur, *The T-ideal of the free ring*, J. London Math. Soc. **30** (1955), 470-475.
2. M. Hall, *Combinatorial Theory*, Blaisdell, Waltham, Mass., 1967.
3. N. Jacobson, *Structure of Rings*, Am. Math. Soc. Colloq. Publ., Vol. 37, 1964.

4. A. Regev, *The polynomial identities of matrices in characteristic zero*, Commun. Algebra **8** (1980), 1417–1467.
5. A. Regev, *Asymptotic values for degrees associated with strips of Young diagrams*, Adv. Math. **41** (1981), 115–136.
6. A. Regev, *The Kronecker product of  $S_n$ -characters and an  $A \otimes B$  theorem for Capelli identities*, J. Algebra **66** (1980), 505–510.
7. A. Regev, *On the height of the Kronecker product of  $S_n$  characters*, Isr. J. Math. **42** (1982), 60–64.

DEPARTMENT OF THEORETICAL MATHEMATICS  
THE WEIZMANN INSTITUTE OF SCIENCE  
REHOVOT, ISRAEL